CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patens and Trademark Office in Alexandria, Virginia on

September 10, 2004.

SEP 1 0 2004 JU

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Janice Rosier/af

In the application of:

Hans-Georg FRÖHLICH et al.

Serial No.: 10/811,509

Filing Date: March 29, 2004

For: METHOD FOR FABRICATING A

CONTACT HOLE PLANE IN A MEMORY

MODULE

Examiner: Not Yet Assigned

Group Art Unit: 1756

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copy of the documents is also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is being submitted within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 543822004300. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 10, 2004

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Respectfully submitted,

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Form PTO-1449					Docket Number: 543822004300 Application Nu			mber: 10/811,509)
INFORMATION DISCLOSURE CITATION O P E IN AN APPLICATION					Applicant Hans-Georg FRÖHLICH et al.				
					Filing Date: March 29, 2004 Group Art Unit			t: Not Yet Assigned	
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Examiner Initials	Ref. No.	Date	Document No.		Name	Class	Subclass	Filing Date If Appropriate	
	1.	01/09/2003	2003/0008453 A1	Kar	ng et al.			04/01/2002	
	2.	09/11/2001	6,287,905 B2	Kin	im et al. 12a		12/22/2000	12/22/2000	
	3.	08/01/2000	6,096,633	Hsu				10/28/1998	
	4.	01/09/2001	6,171,954 B1	Hsu				10/01/1998	
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Initials	No.							YES NO	
	4.	02/08/2001	01-09948 A1	WIPO				x Abstract	<u> </u>
	5.	02/01/2001	199 35 852 A1	Germany				·	х
	6.	12/12/2002	102 23 748 A1	Ger	many				x
		,	OTHER DOCUME	ENTS	(including author, title	e, Date, Pertinent F	Pages, Etc.)		
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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in

conformance and not considered. Include a copy of this form with next communication to applicant.